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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Application Number SN 09/899,688

Filing Date July 5, 2001

First Named Inventor Nelson, Stephen G.

Group Art Unit 1734

Examiner Name L. GRAY

Attorney Docket Number 744-P-6

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- | | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|---|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Gregory J. Nelson

Signature

Date

MAY 07, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 5/7/03

Typed or printed name

Stephanie L. Goff

Signature

Stephanie L. Goff

Date

5/7/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Practitioner's Docket No. 744-P-6

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **STEPHEN G. NELSON**
Application No.: **09 / 899,683** Group No.: **1734**
Filed: **July 5, 2001** Examiner: **L. GRAY**
For: **"One-Way, See-Through Panel & Method of Making Same"**

BOX RESPONSES NO FEE
Assistant Commissioner for Patents
~~WASHINGTON, DC 20530~~
P.O. BOX 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

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RESPONSE

1. Transmitted herewith is ~~any amendment~~ ^{amendment} for this application.

STATUS

2. Applicant is
- ☒ a small entity. A statement:
 - ☐ is attached.
 - ☒ was already filed.
 - ☐ other than a small entity.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a) 37 C.F.R. § 1.10 *

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TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) _____

Date: 5/7/03

Stephanie L. Goff
Signature

Stephanie L. Goff

(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

05/14/2003 DTESSEN1 00000138 09899688

(Amendment Transmittal [9-19]—page 1 of 4)

01 FC:2253

465.00 OP

EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. § 1.645 for extensions of time in interference proceedings, and 37 C.F.R. § 1.550(c) for extensions of time in reexamination proceedings.

NOTE: 37 C.F.R. § 1.704(b) ". . . an applicant shall be deemed to have failed to engage in reasonable efforts to conclude processing or examination of an application for the cumulative total of any periods of time in excess of three months that are taken to reply to any notice or action by the Office making any rejection, objection, argument, or other request, measuring such three-month period from the date the notice or action was mailed or given to the applicant, in which case the period of adjustment set forth in § 1.703 shall be reduced by the number of days, if any, beginning on the day after the date that is three months after the date of mailing or transmission of the Office communication notifying the applicant of the rejection, objection, argument, or other request and ending on the date the reply was filed. The period, or shortened statutory period, for reply that is set in the Office action or notice has no effect on the three-month period set forth in this paragraph."

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. § 1.136
(fees: 37 C.F.R. § 1.17(a)(1)-(4) for the total number of months checked below:

| Extension (months) | Fee for other than small entity | Fee for small entity |
|--|------------------------------------|--------------------------------|
| <input type="checkbox"/> one month | \$ 110.00 | \$ 55.00 |
| <input type="checkbox"/> two months | \$ 400.00 | \$ 200.00 |
| <input checked="" type="checkbox"/> three months | \$ 920.00 | \$ 465.00 \$ 465.00 |
| <input type="checkbox"/> four months | \$ 1,440.00 | \$ 720.00 |

Fee: \$ 465.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured. The fee paid therefor of \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____

OR

- (b) ☐ Applicant believes that no extension of term is required. However, this is a conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. § 1.16(b)-(d)) has been calculated as shown below:

| (Col. 1) | (Col. 2) | (Col. 3) | SMALL ENTITY | OTHER THAN A SMALL ENTITY |
|--|--------------------------------------|------------------|-----------------------------|---------------------------------|
| CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NO PREVIOUSLY PAID FOR | PRESENT EXTRA | ADDIT. FEE OR RATE | ADDIT. FEE OR RATE |
| TOTAL . * | MINUS ** | = | x\$9 = \$ | x\$18 = \$ |
| INDEP. * | MINUS *** | = | x\$42 = \$ | x\$84 = \$ |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | +\$140 = \$ | +\$280 = \$ |
| | | | TOTAL ADDIT. FEE \$ | OR TOTAL ADDIT. FEE \$ |

* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20."

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 C.F.R. § 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) ☒ No additional fee for claims is required.

OR

(d) ☐ Total additional fee for claims required \$ _____

FEE PAYMENT

☒ Attached is a ☒ check ☐ money order in the amount of \$ 465.00

☐ Authorization is hereby made to charge the amount of \$ _____

☐ to Deposit Account No. _____

☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

WARNING: Credit card information should not be included on this form as it may become public.

☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. ☐ If any additional extension and/or fee is required, charge Account
No. _____

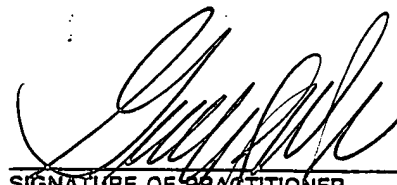
AND/OR

- ☐ If any additional fee for claims is required, charge Account
No. _____

Reg. No.: 22,066

Tel. No.: (602) 263.8782

Customer No.:



SIGNATURE OF PRACTITIONER

Gregory J. Nelson

(type or print name of practitioner)

NELSON & ROEDIGER

P.O. Address

3333 E. Camelback Road, Suite 212
Phoenix, AZ 85018



IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

PATENT

Applicant(s): STEPHEN G. NELSON

Application Serial No.: 09/899,688

Filed: July 5, 2001

Title: "ONE-WAY, SEE-THROUGH PANEL & METHOD OF MAKING SAME"

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Commissioner for Patents

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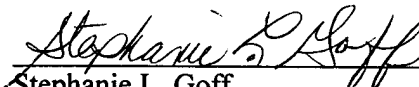
CERTIFICATE OF MAILING

Date of Deposit: May 7, 2003

I hereby certify that the following attached paper(s) and/or fee:

1. Transmittal Form - for fee;
2. RESPONSE/AMENDMENT Transmittal (5 pgs.);
3. Petition Extension Fee check \$465.00;
4. Response (10 pgs.);
5. Return Postcard,

along with this certificate of mailing is being and deposited with the United States Postal Service regular, first class mail on the date indicated above and is addressed to BOX RESPONSES NO FEE, Commissioner For Patents, P.O. BOX 1450, Alexandria, VA 22313-1450.


Stephanie L. Goff,
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